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Thermodynamics

MEAM Potential and Its Application

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Semi-Empirical Atomic Potentials

- **Elastic Constants**
B, C11, C12, C44, ...
- **Defect Energy**
Surface Energy
Heat of Vacancy Formation, ...
- **Structural Energy**
Energy and Lattice Parameters in Different Structures
- **Thermal Property**
Specific Heat
Thermal Expansion Coefficient
Melting Temperature, ...

Semi-Empirical Atomic Potentials – History of Development

- **EAM Potentials (1983, M.S. Daw and M.I. Baskes)**
Successful mainly for FCC elements
- many other many-body potentials show similar performance
- **1NN MEAM Potentials (1987,1992, M.I. Baskes)**
Show Possibility for description of various structures
- important to be able to describe multi-component system
- **2NN MEAM Potentials (2000, B.-J. Lee & M.I. Baskes)**
Applicable to fcc, bcc, hcp, diamond structures and their alloys

Second Nearest Neighbor Modified EAM (2NN MEAM)

- Second Nearest-Neighbor Modified Embedded-Atom Method Potential
Byeong-Joo Lee and M.I. Baskes, Phys. Rev. B. 62, 8564-8567 (2000).
Formalism of the 2NN MEAM
- Second Nearest-Neighbor Modified Embedded Atom Method Potentials for BCC Transition Metals
B.-J. Lee, M.I. Baskes, H. Kim and Y. K. Cho, Phys. Rev. B. 64, 184102 (2001).
Potential for Fe, Cr, Mo, W, V, Nb, Ta
- Semi-Empirical Atomic Potentials for the FCC metals Cu, Ag, Au, Ni, Pd, Pt, Al and Pb based on first and second nearest-neighbor modified embedded atom method
Byeong-Joo Lee, J.-H. Shim and M.I. Baskes, Phys. Rev. B. 68, 144112 (2003).
Potential for Cu, Ag, Au, Ni, Pd, Pt, Al, Pb
- Potential for other Elements: *Si, Ge*
- Potential for Alloy Systems: **Fe-Cr, Ni-W, Fe-Cu, Cu-Ni, Fe-Ni, Ni-Si, Ge-Si**

Semi-Empirical Atomic Potentials – EAM/MEAM : General

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

E : Total Potential Energy

F : Embedding Energy

ρ : Electron Density (Considering Bonding Directionality)

ϕ : Pair Interaction Energy

Semi-Empirical Atomic Potentials – EAM : Electron Density

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

$$\rho_i^{a(h)}(R) = C e^{-\beta^{(h)} (R/r_e - 1)}$$

$$\bar{\rho}_i = \rho_i^{(0)} \left(= \sum_j \rho_j^{a(0)}(r_{ij}) \right)$$

Semi-Empirical Atomic Potentials – MEAM : Electron Density

$$\bar{\rho}_i = \rho_i^{(0)} \left(= \sum_j \rho_j^{a(0)}(r_{ij}) \right) + \text{Angular contribution}$$

$$(\rho_i^{(0)})^2 = \left[\sum_{j \neq i} \rho_j^{a(0)}(R_{ij}) \right]^2$$

$$(\rho_i^{(1)})^2 = \sum_{\alpha} \left[\sum_{j \neq i} \frac{R_{ij}^{\alpha}}{R_{ij}} \rho_j^{a(1)}(R_{ij}) \right]^2$$

$$(\rho_i^{(2)})^2 = \sum_{\alpha, \beta} \left[\sum_{j \neq i} \frac{R_{ij}^{\alpha} R_{ij}^{\beta}}{R_{ij}^2} \rho_j^{a(2)}(R_{ij}) \right]^2 - \frac{1}{3} \left[\sum_{j \neq i} \rho_j^{a(2)}(R_{ij}) \right]^2$$

$$(\rho_i^{(3)})^2 = \sum_{\alpha, \beta, \gamma} \left[\sum_{j \neq i} \frac{R_{ij}^{\alpha} R_{ij}^{\beta} R_{ij}^{\gamma}}{R_{ij}^3} \rho_j^{a(3)}(R_{ij}) \right]^2 - \frac{3}{5} \sum_{\alpha} \left[\sum_{j \neq i} \frac{R_{ij}^{\alpha}}{R_{ij}} \rho_j^{a(3)}(R_{ij}) \right]^2$$

Semi-Empirical Atomic Potentials – MEAM : Electron Density

$$\bar{\rho}_i = \rho_i^{(0)} \left(= \sum_j \rho_j^{a(0)}(r_{ij}) \right) + \text{Angular contribution}$$

$$(\bar{\rho}_i)^2 = \sum_{h=0}^3 t_i^{(h)} (\rho_i^{(h)})^2 = (\rho_i^{(0)})^2 \left(1 + \sum_{h=1}^3 t_i^{(h)} \left(\frac{\rho_i^{(h)}}{\rho_i^{(0)}} \right)^2 \right) \quad \text{with } t_i^{(0)} = 1$$

$$\Gamma = \sum_{h=1}^3 t_i^{(h)} \left[\frac{\rho_i^{(h)}}{\rho_i^{(0)}} \right]^2 \quad \bar{\rho}_i = \rho_i^{(0)} G(\Gamma)$$

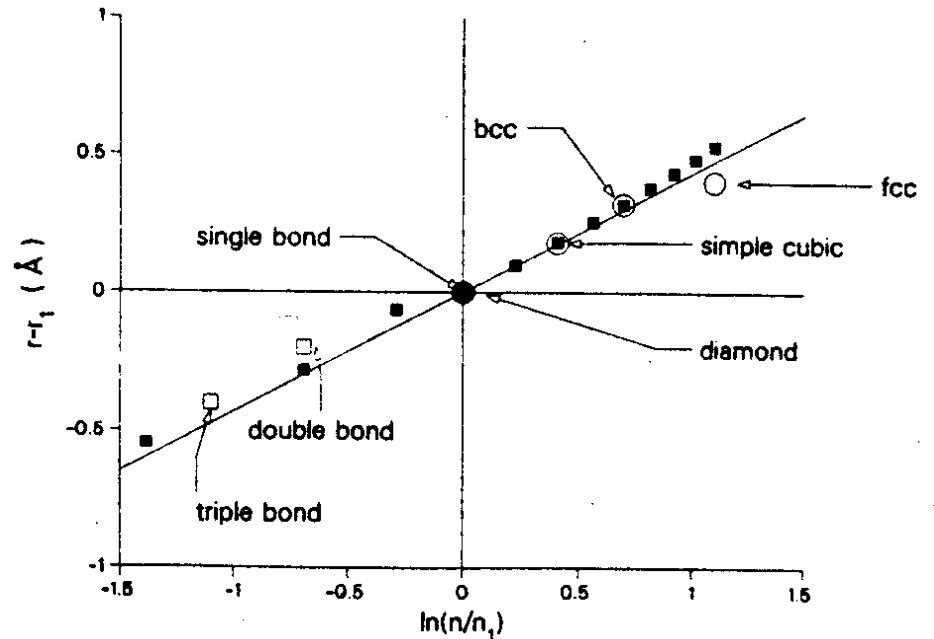
$$G(\Gamma) = \frac{2}{1 + e^{-\Gamma}}$$

Semi-Empirical Atomic Potentials – MEAM : Embedding Function

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

$$F(\bar{\rho}) = AE_c \frac{\bar{\rho}}{\bar{\rho}_o} \ln \frac{\bar{\rho}}{\bar{\rho}_o}$$

$$r - r_e \cong \frac{-\rho^q(r_e)'}{\alpha^2 \rho^a(r_e)} \ln \left(\frac{n}{n_e} \right)$$



M.I. Baskes et al., Phys. Rev. B, 40, 6085 (1989)

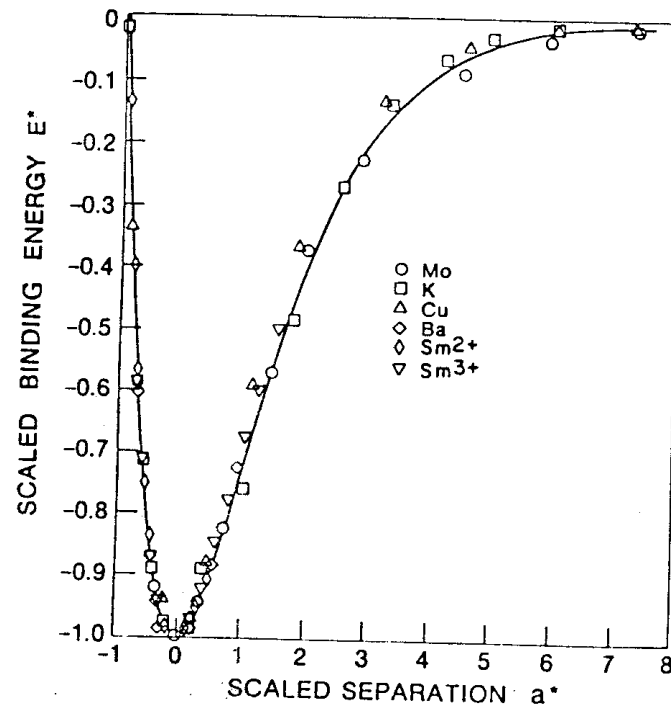
Semi-Empirical Atomic Potentials – MEAM : Universal EOS

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

$$E^u(r) = -E_c(1 + a^*)e^{-a^*}$$

$$a^* = \alpha(r/r_e - 1)$$

$$\alpha = \left(\frac{9B\Omega}{E_c} \right)^{1/2}$$



J.H. Rose et al., Phys. Rev. B, 29, 2963 (1984)

Semi-Empirical Atomic Potentials – MEAM : Universal EOS

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

$$F(\bar{\rho}^o(r)) + \frac{1}{2} \sum \phi(r) = E^u(r) = -E_c (1 + a^*) e^{-a^*}$$

$$\phi(r) = \frac{2}{Z_1} [E^u(r) - F(\bar{\rho}^o(r))]$$



1NN MEAM vs. 2NN MEAM – Many-Body Screening

$$C = \frac{2(X_{ik} + X_{kj}) - (X_{ik} - X_{kj})^2 - 1}{1 - (X_{ik} - X_{kj})^2}$$

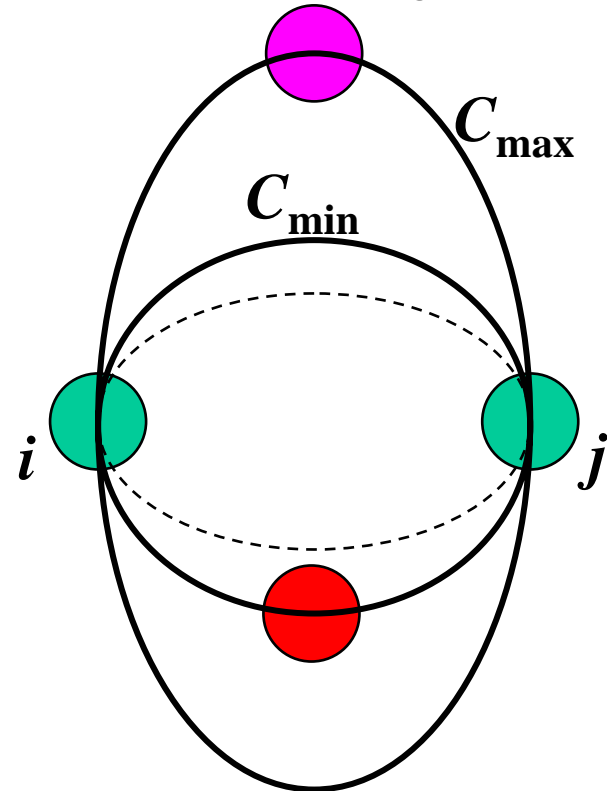
$$x^2 + \frac{1}{C}y^2 = \left(\frac{1}{2}R_{ij}\right)^2$$

$$X_{ik} = (R_{ik}/R_{ij})^2 \text{ and } X_{kj} = (R_{kj}/R_{ij})^2$$

$$S_{ikj} = f_c \left[\frac{C - C_{\min}}{C_{\max} - C_{\min}} \right]$$

$$f_c(x) = \begin{cases} 1 & x \geq 1 \\ \left[1 - (1-x)^4\right]^2 & 0 < x < 1 \\ 0 & x \leq 0 \end{cases}$$

$$S_{ij} = \prod_{k \neq i, j} S_{ikj}$$



Semi-Empirical Atomic Potentials – 2NNMEAM

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

$$E^u(R) = F(\bar{\rho}^o(R)) + \frac{Z_1}{2} \phi(R) + \frac{Z_2 S}{2} \phi(aR)$$

$$\bar{\rho}^o(R) = Z_1 \rho^{a(0)}(R) + Z_2 S \rho^{a(0)}(aR)$$

$$E^u(R) = F(\bar{\rho}^o(R)) + \frac{Z_1}{2} \psi(R)$$

$$\psi(R) = \phi(R) + \frac{Z_2 S}{Z_1} \phi(aR)$$

$$\phi(R) = \psi(R) + \sum_{n=1} (-1)^n \left(\frac{Z_2 S}{Z_1} \right)^n \psi(a^n R)$$

Semi-Empirical Atomic Potentials – 2NNMEAM for Alloy Systems

$$E = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} \phi_{ij}(r_{ij}) \right]$$

Select a Reference Phase: FCC_A1, BCC_B2, L12, M(Va,C)₃,

▷ Potential Energy per Atom, for BCC_B2 (CsCl type)

$$E_{ij}^u(R) = \frac{1}{2} \{ F_i(\bar{\rho}_i(R)) + F_j(\bar{\rho}_j(R)) \} \\ + \frac{1}{2} \left\{ Z_1 \phi_{ij}(R) + \frac{Z_2}{2} [S_{ii} \phi_{ii}(aR) + S_{jj} \phi_{jj}(aR)] \right\}$$

$$E_{ij}^u(R) = -E_c^{ij} (1 + a^*) e^{-a^*}$$

$$a^* = \alpha \left(\frac{R}{r_e^{ij}} - 1 \right), \quad \alpha = \left(\frac{9B_{ij}\Omega_{ij}}{E_c^{ij}} \right)^{1/2}$$

Evaluation of MEAM Potential Parameters

- $E_c, R_e, B, A, d, \beta^{(0)}, \beta^{(1)}, \beta^{(2)}, \beta^{(3)}, t^{(1)}, t^{(2)}, t^{(3)}, C_{\max}, C_{\min}$

Cohesive Energy of Stable and Metastable Structure

Nearest Neighbor Distance

Bulk Modulus, Elastic Constants (C11, C12, C44)

Stacking Fault Energy

Vacancy Formation Energy

Surface Energy

MEAM for BCC Transition Metals - Fitted Properties

Elem.	C11	C12	C44	$E_{(100)}$	$E_{(110)}$	$E_{(111)}$	E_v^f	$E_{bcc/fcc}$	$E_{fcc/hcp}$
Fe	2.430	1.380	1.219	2510	2356	2668	1.75	0.069	-0.023
	<i>2.431</i>	<i>1.381</i>	<i>1.219</i>		<i>2360*</i>		<i>1.79</i>	<i>0.082</i>	<i>-0.023</i>
Cr	3.909	0.897	1.034	2300	2198	2501	1.91	0.070	-0.02
	<i>3.910</i>	<i>0.896</i>	<i>1.032</i>		<i>2200*</i>		<i>1.80</i>	<i>0.075</i>	<i>-0.029</i>
Mo	4.649	1.655	1.088	3130	2885	3373	3.09	0.167	-0.038
	<i>4.647</i>	<i>1.615</i>	<i>1.089</i>		<i>2900*</i>		<i>3.10</i>	<i>0.158</i>	<i>-0.038</i>
W	5.326	2.050	1.631	3900	3427	4341	3.95	0.263	-0.047
	<i>5.326</i>	<i>2.050</i>	<i>1.631</i>		<i>2990*</i>		<i>3.95</i>	<i>0.200</i>	<i>-0.047</i>
V	2.323	1.194	0.460	2778	2636	2931	2.09	0.084	-0.011
	<i>2.324</i>	<i>1.194</i>	<i>0.460</i>		<i>2600*</i>		<i>2.10</i>	<i>0.078</i>	<i>-0.036</i>
Nb	2.527	1.331	0.319	2715	2490	2923	2.75	0.176	-0.012
	<i>2.527</i>	<i>1.332</i>	<i>0.310</i>		<i>2300*</i>		<i>2.75</i>	<i>0.140</i>	<i>-0.036</i>
Ta	2.664	1.581	0.875	3035	2778	3247	2.95	0.148	-0.023
	<i>2.663</i>	<i>1.582</i>	<i>0.874</i>		<i>2780*</i>		<i>2.95</i>	<i>0.166</i>	<i>-0.041</i>



MEAM for BCC Transition Metals - Defect Properties

Elem.	E_v^f		Q		self-interstitial	
	MEAM	exp.	MEAM	exp.	E_I	structure
Fe	1.75	1.79	2.28	2.5	4.23	[110] dumbbell
Cr	1.91	1.80	2.61	3.1	3.90	[110] dumbbell
Mo	3.09	3.10	4.22	4.5	5.97	[110] dumbbell
W	3.95	3.95	5.56	5.5	8.98	[110] dumbbell
V	2.09	2.10	2.47	3.2	2.49	[110] dumbbell
Nb	2.75	2.75	3.32	3.6	2.56	[110] dumbbell
Ta	2.95	2.95	3.71	4.3	4.88	[110] dumbbell

MEAM for BCC Transition Metals - Thermal Properties

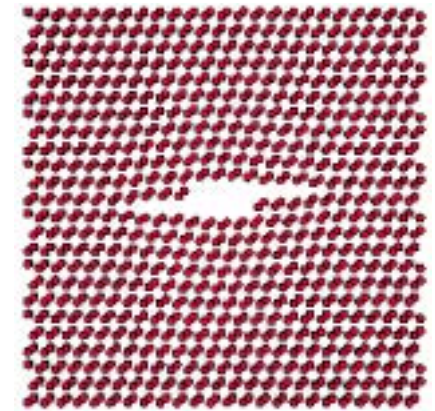
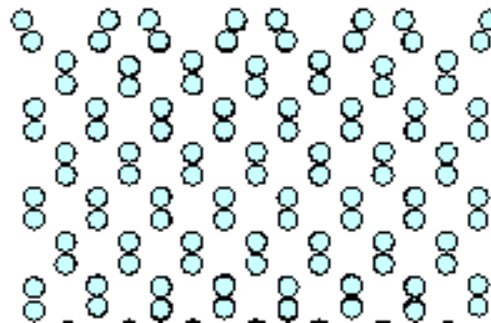
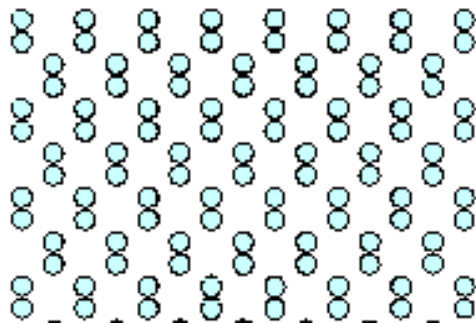
Ele.	(0-100°C) C_p (0-100°C)		T_m		H_m		V_m/V_{solid}			
	MEAM	exp.	MEAM	exp.	MEAM	exp.	MEAM	exp.		
Fe	12.4	12.1	26.1	25.5	2200	1811	13.2	13.8	3.4	3.5
Cr	9.0	6.5	26.8	24.0	2050	2180	18.8	21.0	4.4	-
Mo	5.3	5.1	25.9	24.1	3100	2896	20.1	37.5	3.0	-
W	4.2	4.5	25.4	25.4	4600	3695	33.0	52.3	3.2	-
V	8.7	8.3	26.1	25.4	1800	2183	11.7	21.5	1.3	-
Nb	6.4	7.2	26.1	24.9	1900	2750	13.5	30.0	1.0	-
Ta	5.8	6.5	25.7	25.7	3200	3290	22.3	36.6	2.1	-

Semi-Empirical Potentials for Fe

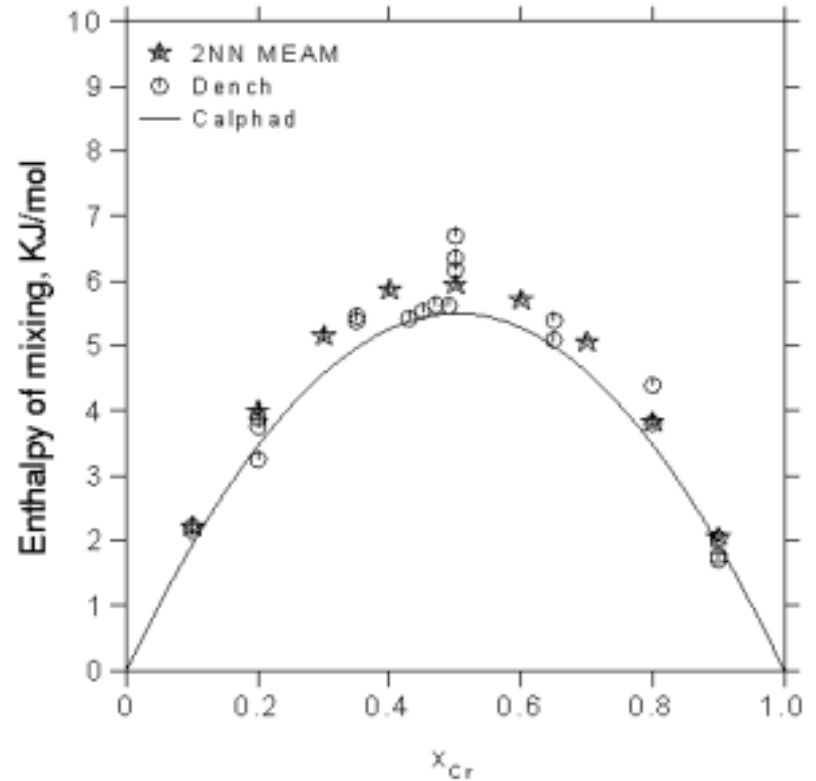
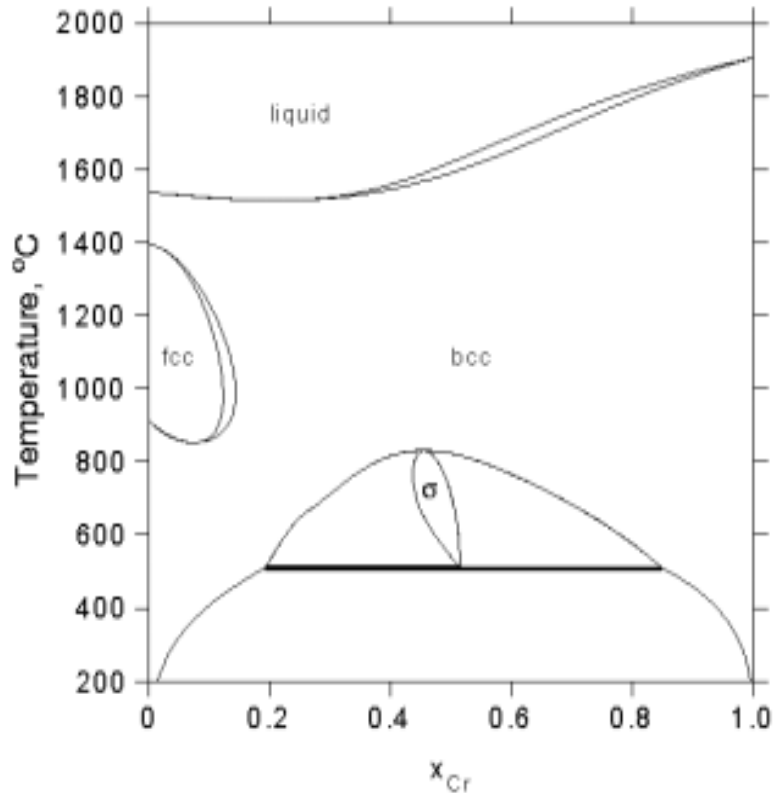
Property	Fe-I	Fe-II	Fe-III	FS	MEAM	Experiment/FP
C11	2.12	2.33	2.42	2.43	2.43	2.43
C12	1.53	1.37	1.47	1.45	1.38	1.38
C44	1.15	1.18	1.12	1.16	1.22	1.22
C'	0.29	0.48	0.48	0.49	0.53	0.53
Hf_vac	2.14	1.41	1.63	1.83	1.75	1.5-2.0
Hm_vac	0.10	1.45	0.66	0.91	0.53	0.55
Hf_SIA	2.59	6.77	3.54	4.76	4.23	-
	<111>cr	<111>cr	<111>cr	<110>du	<110>du	<110>du
$\Delta E_{\text{fcc/bcc}}$	0.056	0.032	0.027	0.054	0.069	0.08/0.035,0.08
$\Delta E_{\text{hcp/fcc}}$	0.000	0.000	0.000	0.000	-0.023	-0.023/0.085,0.03
MP	1400	3850	2200	2200	2200	1811

MEAM for Silicon

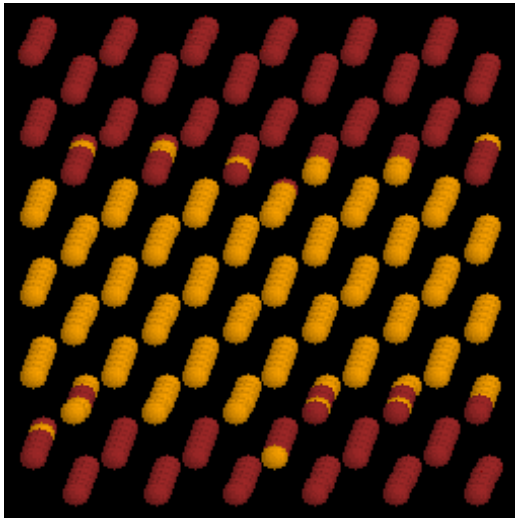
C_{11}	C_{12}	C_{44}	$E_{(100)}$	$E_{(110)}$	$E_{(111)}$	E_v^f	$E_{\text{dia/fcc}}$	$E_{\text{dia/hcp}}$	$E_{\text{dia/bcc}}$	
(10 ¹² dyne/cm ²)			(erg/cm ²)			(eV)	(eV)		(0-100°C)	
1.67	0.65	0.80	2631	1766	1442	3.67	0.57	0.55	0.52	2.65
1.68	0.65	0.80		1135*		3.3-4.3	0.57	0.55	0.53	2.69



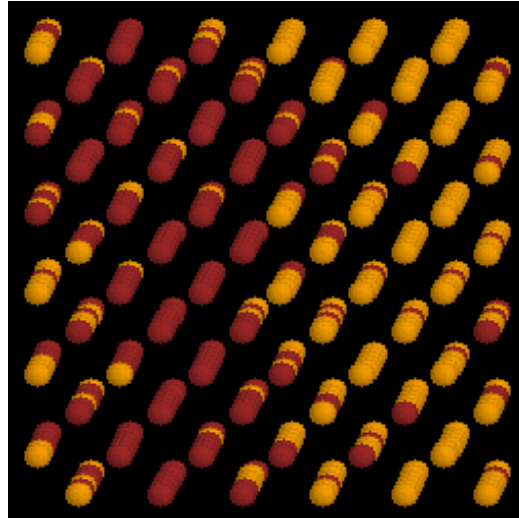
MEAM for Fe-Cr Binary System



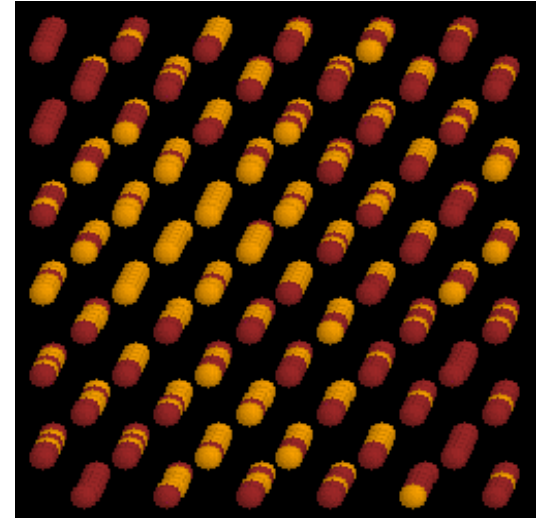
MEAM for Fe-Cr Binary System - Simulation of Phase Separation



200K



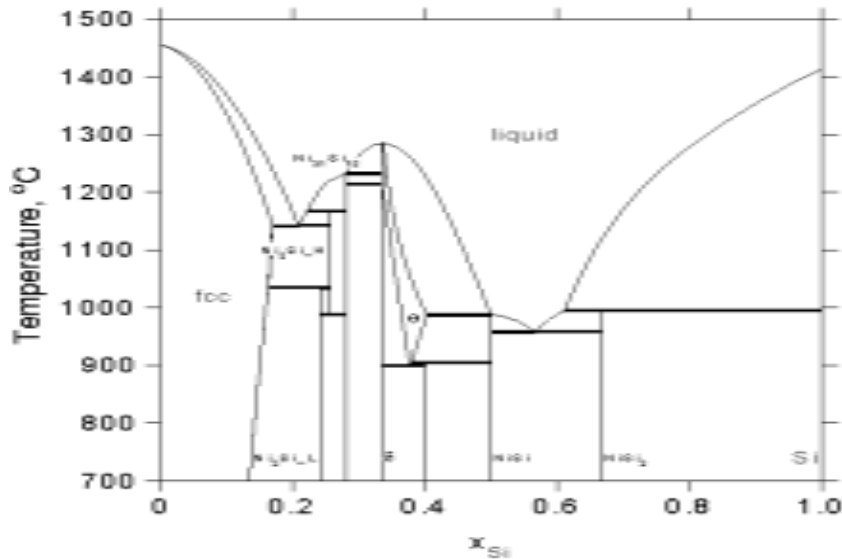
850K



1000K



MEAM for Ni-Si Binary System



Dilute Heat of Solution (eV/atom)

Si in (Ni) -1.50 (-1.37)
Ni in (Si) +0.50

Enthalpy of Formation (eV/atom)

Lattice constant (Å)

Bulk Modulus (100 GPa)

C11 (100 GPa)

C12 (100 GPa)

C11-C12 (100 GPa)

C44 (100 GPa)

(100) fracture energy (J·m⁻²)

Ni₃Si

0.36 (0.36)

3.504 (3.504)

2.64

3.67 (3.63-3.75)

2.13 (2.00-2.05)

1.54

1.96 (1.67-1.72)

5.3 (7.2)

NiSi₂

0.28 (0.28)

5.391 (5.406)

1.93 (1.60)

2.39

1.69

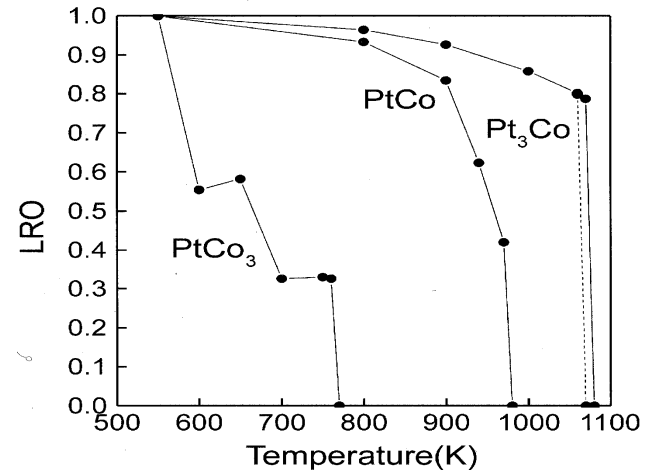
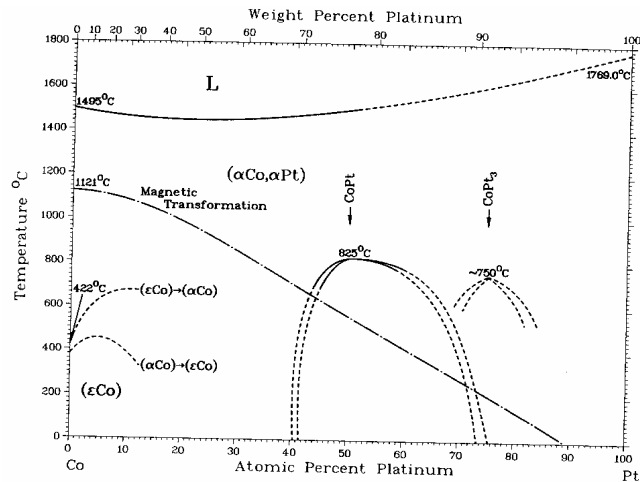
0.70 (0.58)

0.32

8.0

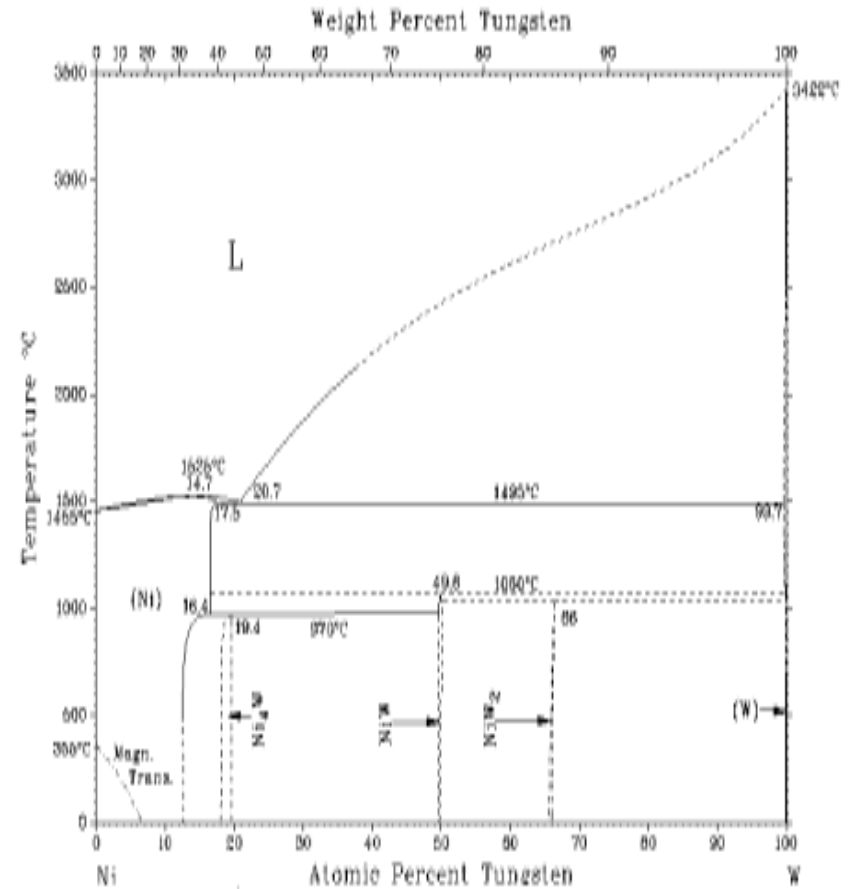
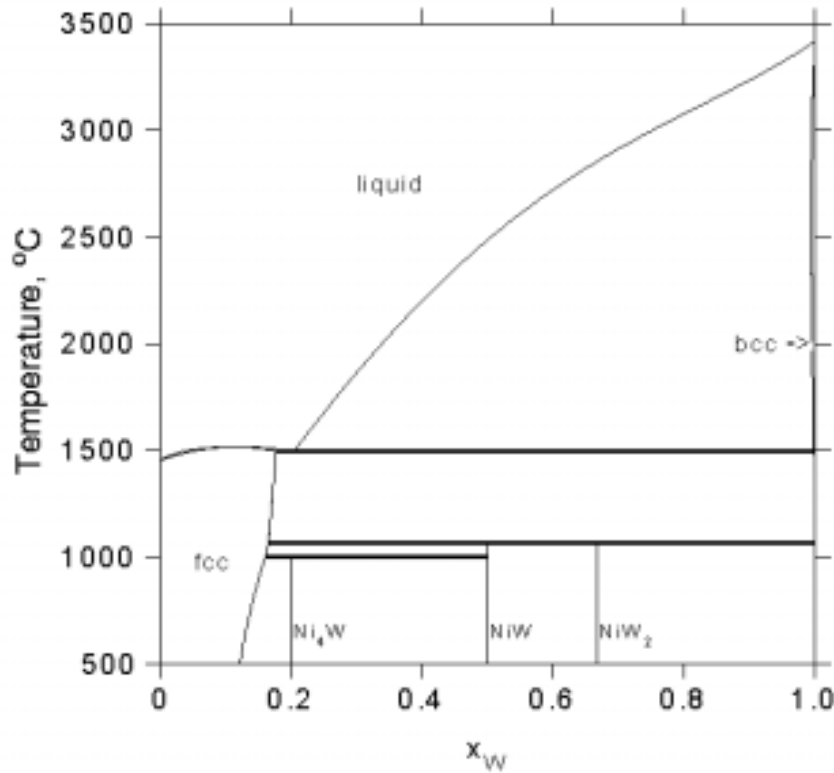


MEAM for Co-Pt Binary System - S.I. Park et al., Scripta Mater., 2001.



Property	Pt_3Co	PtCo	PtCo_3
Cohesive Energy (eV/atom)	5.500 <i>5.555 ± 0.017</i>	5.215 <i>5.228 ± 0.005</i>	4.873
Lattice Constant (Å)	a=3.833 <i>a=3.831</i>	3.754, c/a=.98 <i>3.745, c/a=.98</i>	3.625 <i>3.668</i>
Transition Temperature (K)	1070-1080 <i>1000</i>	970-980 <i>1100</i>	760-770 <i>840</i>

Phase Diagram of the Ni-W Binary System



P. Gustafson et al., 1987

A. Fernandez Guillermet, 1986

MEAM for Tungsten

Property	MEAM	Experiment/FP
B	3.14	3.14
($\partial B / \partial P$)_{0K}	4.79	4.50
C11	5.326	5.326
C12	2.050	2.050
C44	1.631	1.631
$\Delta E_{fcc/bcc}$	0.26	0.20
$\Delta E_{hcp/fcc}$	-0.047	-0.047
H_{vac}	3.95	3.95
Q_D	5.56	5.5
E(100)/(110)/(111)	3900/3427/4341	2990, 3468
% relaxation	-3.2/-3.0/-13.2	-3.1/ -5.9/ -15.1
MP	4600	3695
$\Delta H / \Delta V_f$	33.0/3.2	52.3/ -
C_p/ϵ (0-100°C)	25.4/4.2	25.4/4.5



MEAM for Nickel

Property	MEAM	Experiment/FP
B	1.876	1.876
($\partial B / \partial P$)_{0K}	4.90	4.89
C11	2.612	2.612
C12	1.508	1.508
C44	1.317	1.317
$\Delta E_{\text{fcc/bcc}}$	0.16	0.11
H_vac	1.51	1.60
Q_D	2.98	2.87
E(100)/(110)/(111)	1943/2057/1606	2240
% relaxation	-1.8/-6.0/-1.4	-3.2~1.1/ -8 \pm1 / -1 \pm1
E_SF(111)	125	125
MP	2013	1728
$\Delta H / \Delta V_f$	24.6/9.1	17.5/4.5
Cp/ϵ (0-100°C)	25.4/12.6	26.5/13.3



- **Calphad Assessment Results**
- **First Principles Calculation on stabilities, lattice constants and bulk moduli of stable/non-stable compounds**

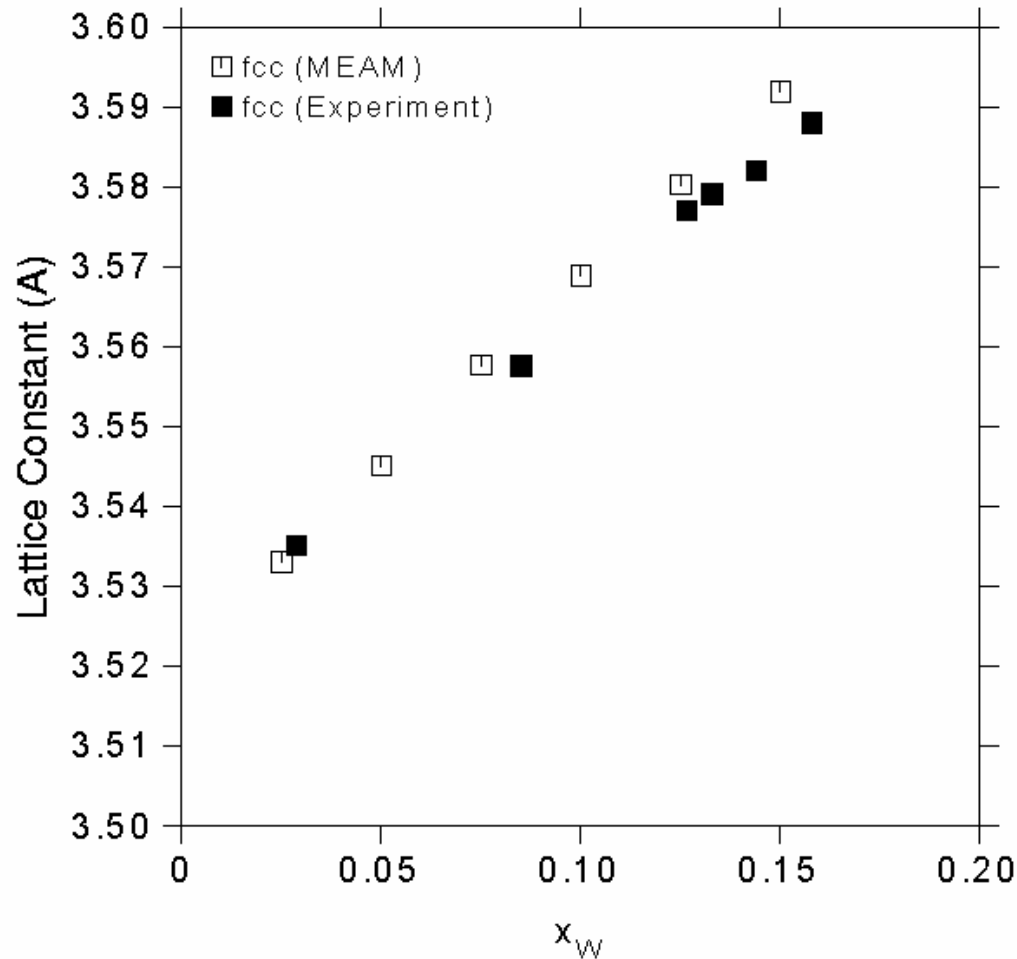
MEAM parameters for the Ni-W Binary System

	Selected value	Procedure for the determination
E_c^{Ni3W}	$E_c^{Ni} + E_c^W + 0.08$	Fitting
r_e^{Ni3W}	2.557	Fitting
B^{Ni3W}	3.189	Fitting
d	$0.75d^{Ni} + 0.25d^W$	Assumption
C_{min} (Ni-W-Ni)	0.81 (0.81)	Fitting
C_{min} (W-Ni-W)	0.52 (0.49)	Fitting
C_{min} (Ni-Ni-W)	2.25	Fitting
C_{min} (Ni-W-W)	2.25	Fitting
ρ_0	$\rho_0^{Ni} = \rho_0^W = 1$	Assumption

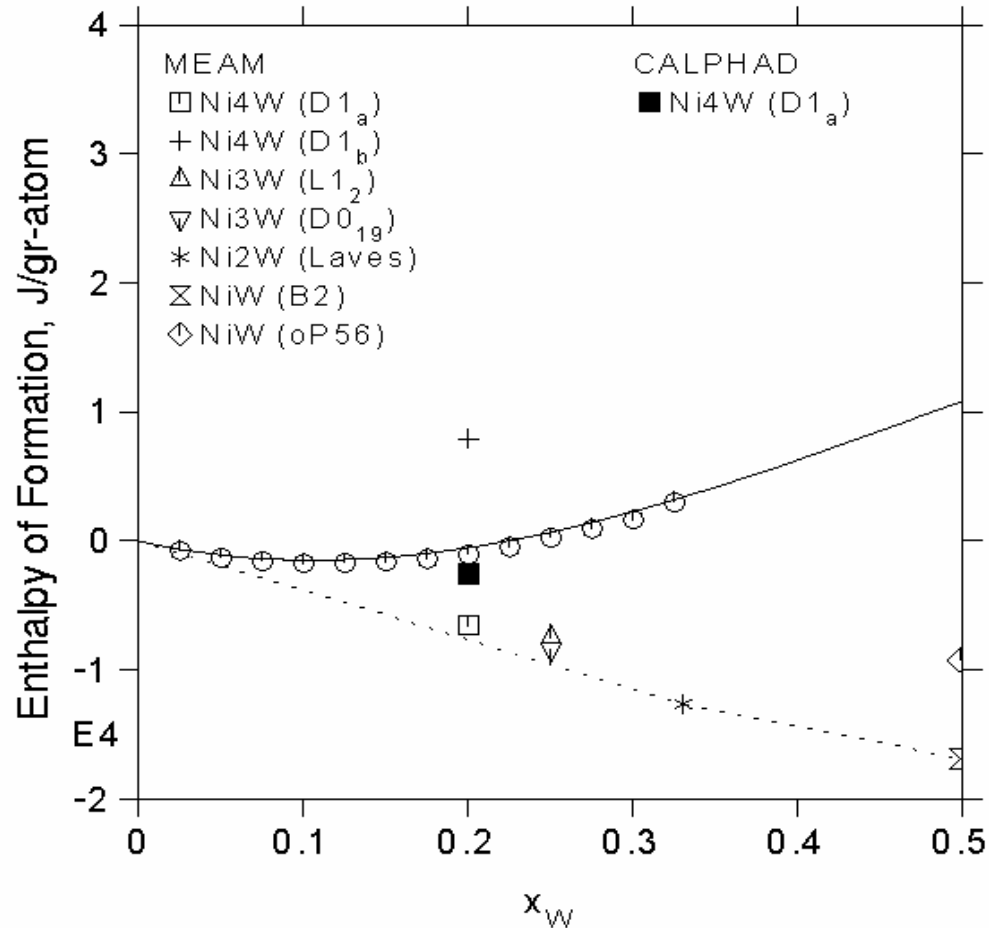
MEAM for Ni-W Binary System

	a (Å)	c (Å)	Ec (eV)	B (Gpa)
Ni ₄ W (D1a)	5.73	3.553	5.36	292
	5.73	3.553	5.40	293
Ni ₃ W (L1 ₂)	3.62	-	5.58	319
	3.58	-	5.65	287
Ni ₃ W (D0 ₁₉)	2.56	4.05	5.59	316
	2.53	-	5.42	289
NiW ₃ (L1 ₂)	3.86	-	7.29	316
	3.84	-	7.55	283
NiW ₃ (D0 ₁₉)	2.76	4.44	7.36	321
	2.76	-	7.70	304

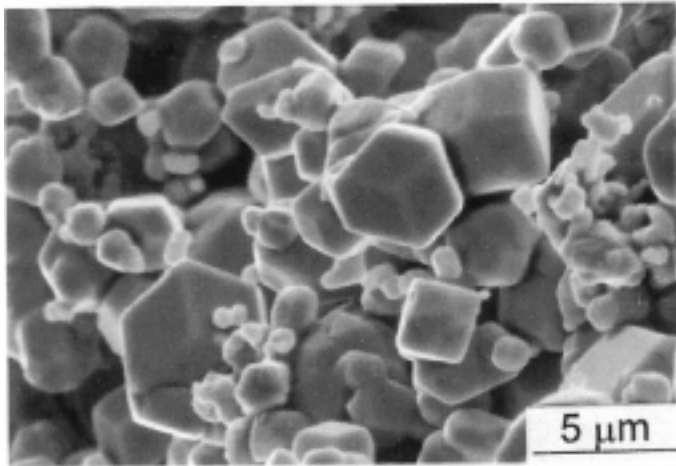
MEAM for Ni-W Binary System



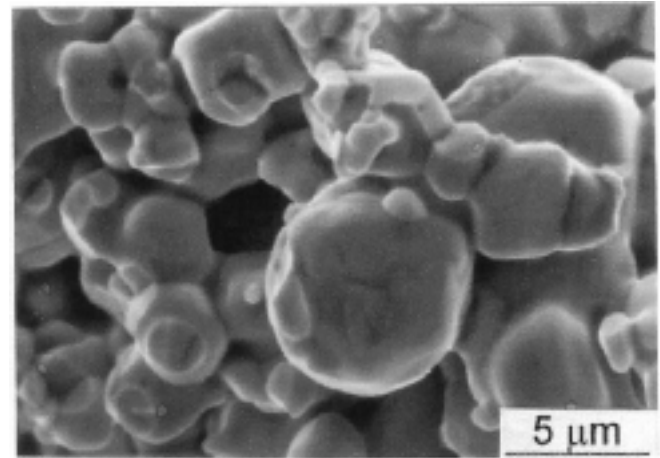
MEAM for Ni-W Binary System



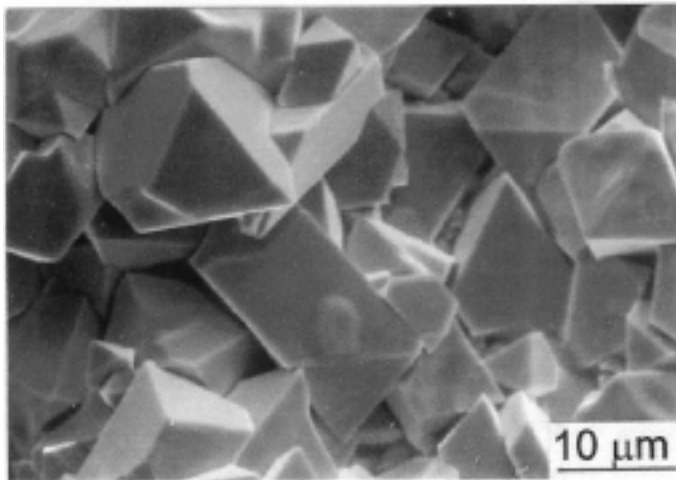
Surface Transition and Alloying Effect – N.M. Hwang et al., 2000.



Pure W



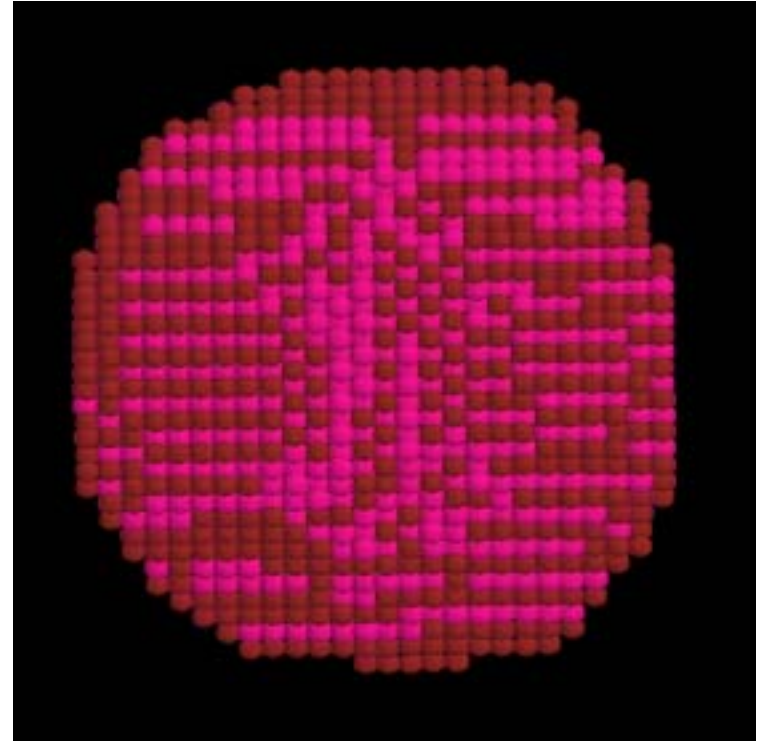
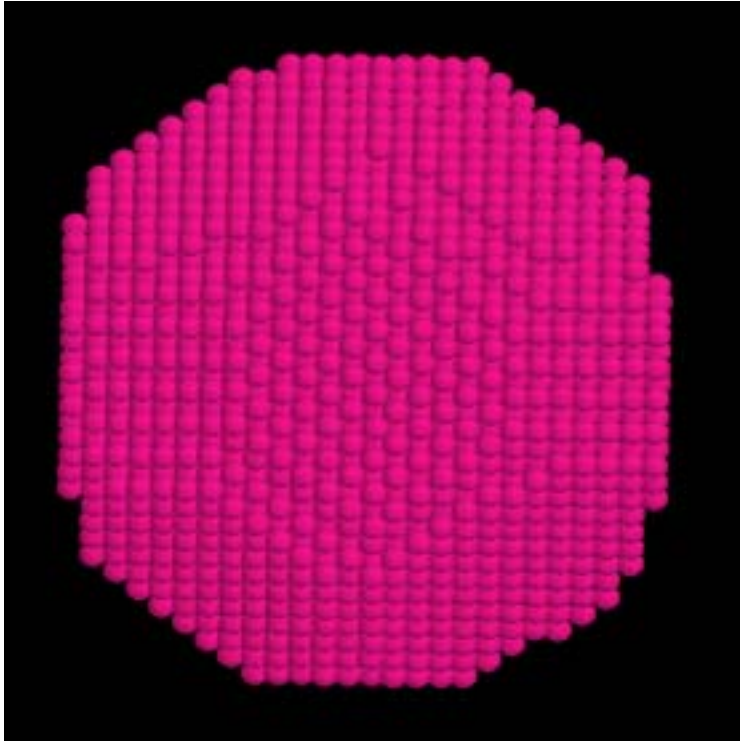
W + 0.4wt% Ni



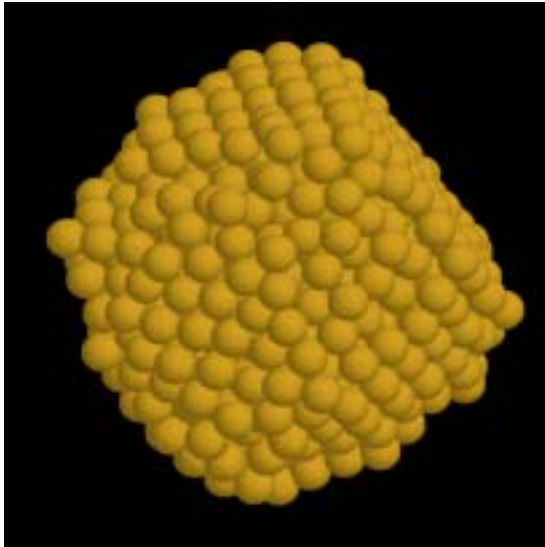
Vacuum Annealing



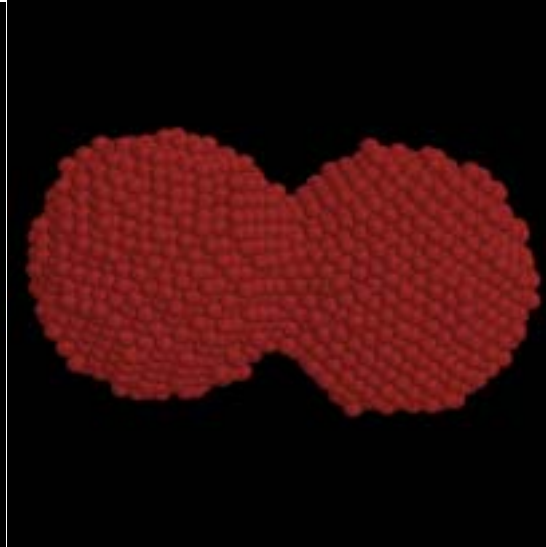
Simulated Surfaces of pure W and W-Ni alloy Powders - 6nm



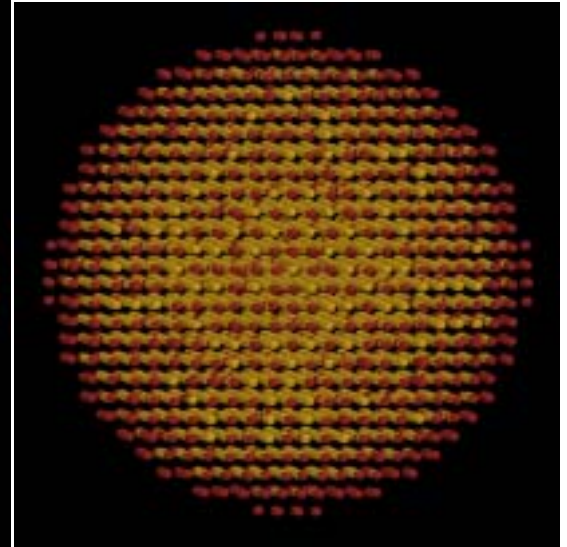
Application to Nano Powder



Surface Facet, Au

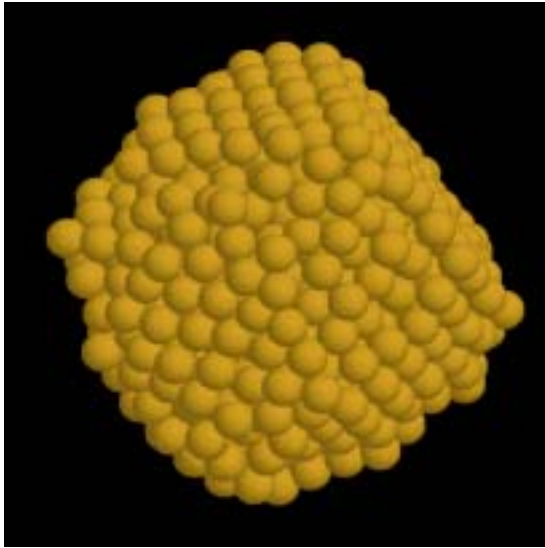


Sintering, Ni

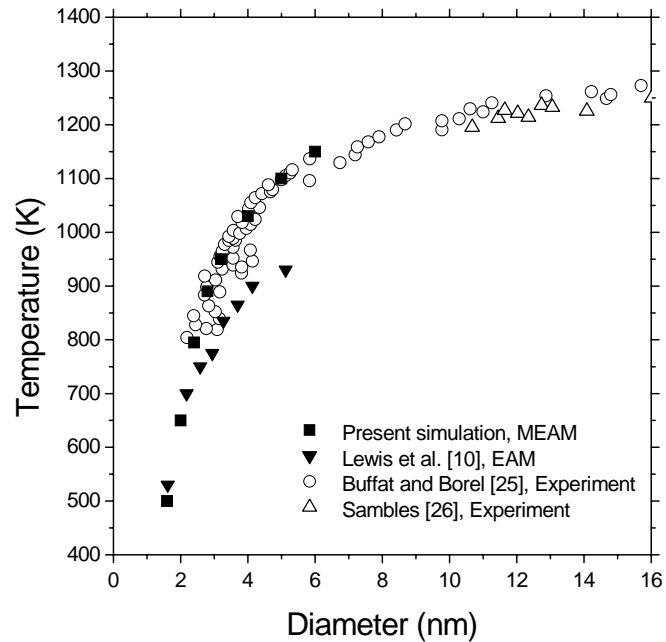


Surface Segregation,
Cu-Ni

Size Effect on the Melting Point - J.-H. Shim et al., Surface Science, 2002.



Surface Facet, Au



Size dependence of Melting point



Surface Segregation and Phase Separation in Cu-Ni Nano Powder - III



Bulk, 100K

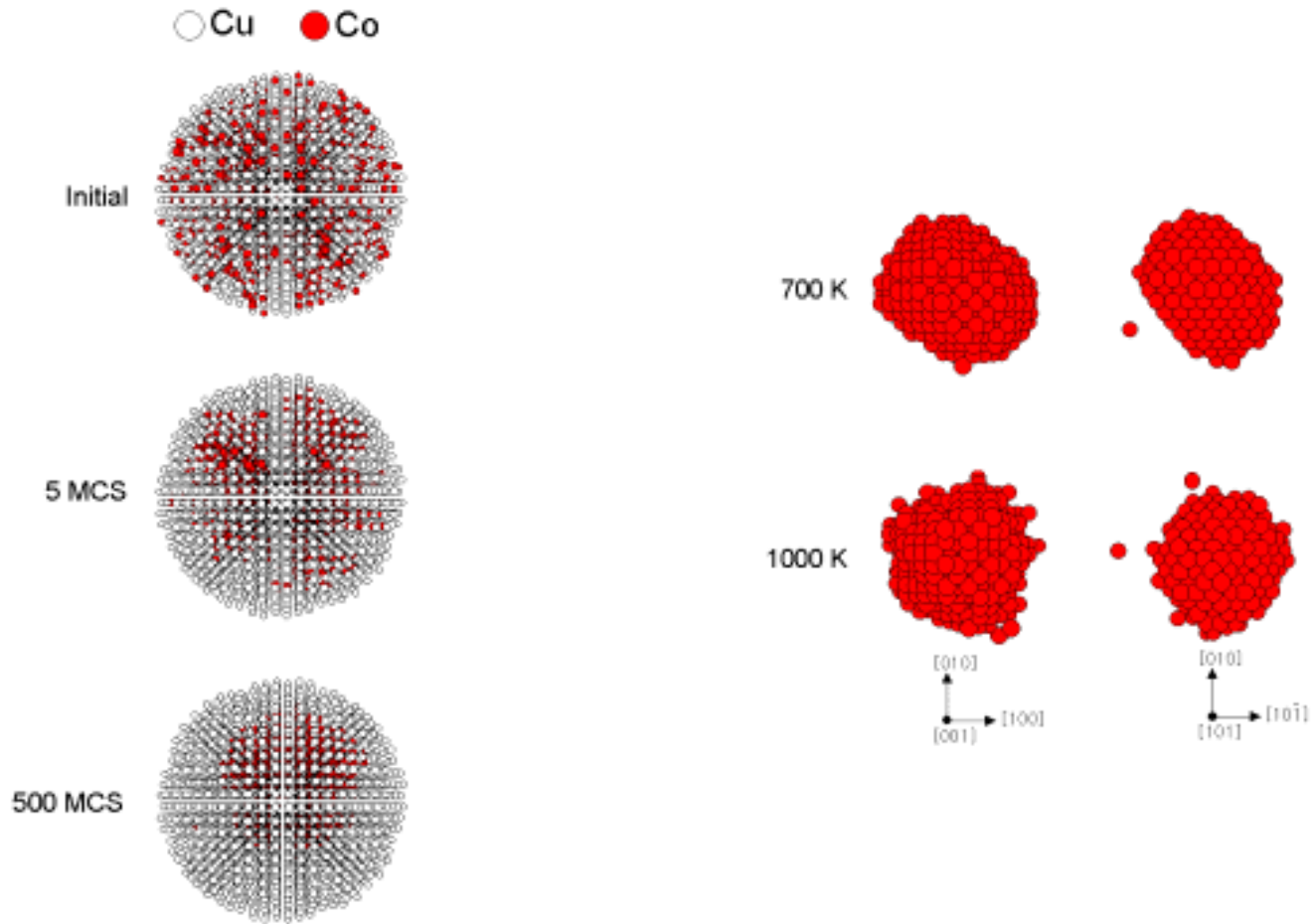
Thin Film, 100K

Thin Film, 298K

Surface Segregation and Phase Separation



Precipitation of Co in a Cu-Co Nano Powder - J.-H. Shim et al., JMR, 2002.



Energetics of Dot Formation during Thin Film Growths : Ge on Si

I



II



III



Coverage (Dot-size)

$E_{II}-E_I$

$E_{III}-E_I$

Double-Layer (5nm)

0.010 eV/atom

0.025 eV/atom

Triple-Layer (5nm)

0.017 eV/atom

0.031 eV/atom

Triple-Layer (10nm)

0.007 eV/atom

0.016 eV/atom

Double-Layer (10nm)

0.004 eV/atom : (100) side

Double-Layer (10nm)

0.002 eV/atom : (110) side

Double-Layer (12nm)

0.003 eV/atom : (100) side

Future Plan

- **C, H, O, N**
- **Si, Ge, Ga, In, As, N**
- **Oxide Systems**
- **First Principles Calculation**